

Quantum Error Correction and Fault Tolerance: Surface Codes

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Towards a Fully Operational and Scalable Quantum Computer

Quantum
Decoherence



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 - the loss of information from a system into the environment.
- Present in the transmission, processing, or storage of quantum information.

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Towards a Fully Operational and Scalable Quantum Computer

- **Understand environmental decoherence processes and model them properly.**

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- Understand environmental decoherence processes and model them properly.
- Use error correction to protect quantum information against decoherence.

Model a Noisy Quantum System

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Binary Symmetric Channel (BCS_p)

IN: $b \in \{0, 1\}$

OUT: $\begin{cases} b, \text{ prob. } 1 - p \\ \neg b, \text{ prob. } p \end{cases}$

Assume $p \in [0, 1]$, the channel behaves independently for each bit that passes through it.

Examples of Single-Qubit Errors

Bit Flip X : $X|0\rangle = |1\rangle, X|1\rangle = |0\rangle$.

$$X = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}, Z = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}.$$

Phase Flip Z : $Z|0\rangle = |0\rangle, Z|1\rangle = -|1\rangle$.

$$Y = iXZ = \begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix}.$$

Complete Dephasing : $\rho \longrightarrow 1/2(\rho + Z\rho Z^\dagger)$.

Rotation R_θ : $R_\theta|0\rangle = |0\rangle, R_\theta|1\rangle = e^{i\theta}|1\rangle$.

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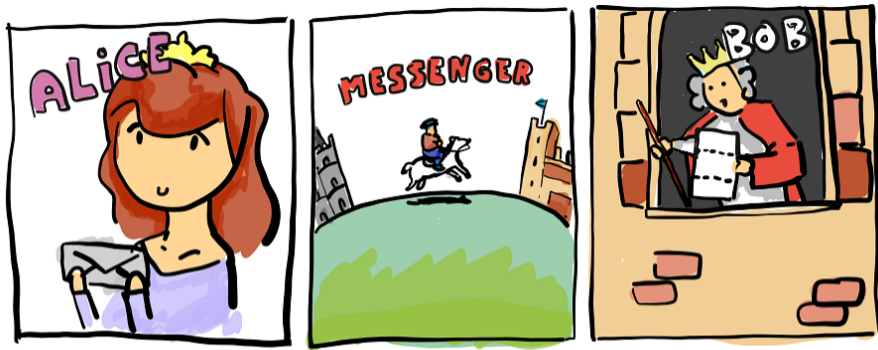
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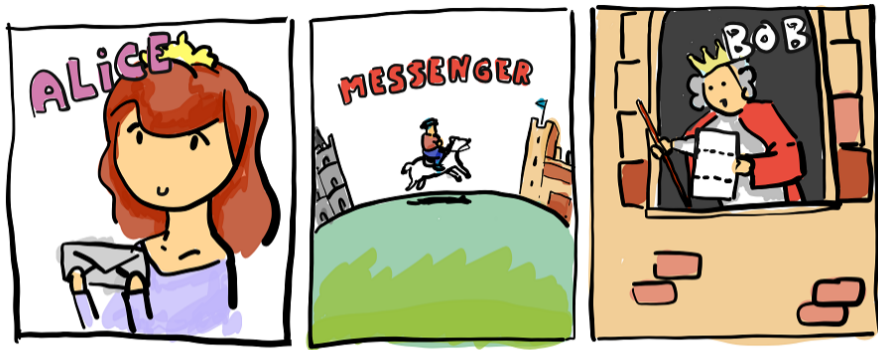
Definition

A single-qubit Pauli error could be one of the following single-qubit errors:

- A bit-flip error X ;
- A phase-flip error Z ;
- Both a bit-flip and a phase-flip error: Y .

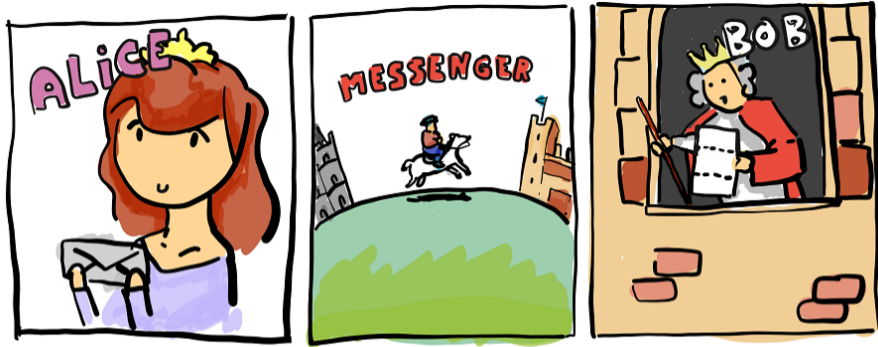


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1. Get a better communication channel (*BCS* with a smaller p).
2. **Use quantum error correction codes.**

3-Bit Repetition Code

1. Alice encodes $b \in \{0, 1\}$ as bbb , and sends the three bits through the channel.

$$0 \longrightarrow 000 \quad 1 \longrightarrow 111.$$

2. Bob decodes the three bits he receives by taking the majority count.

The three bits that Bob receives may not be the same.

$$000 \longrightarrow \begin{cases} 100, \text{ The 1st bit is flipped;} \\ 010, \text{ The 2nd bit is flipped;} \\ 001, \text{ The 3rd bit is flipped.} \end{cases} \quad 111 \longrightarrow \begin{cases} 011, \text{ The 1st bit is flipped;} \\ 101, \text{ The 2nd bit is flipped;} \\ 110, \text{ The 3rd bit is flipped.} \end{cases}$$

3. If no more than one bit is flipped, this method succeeds because flipping one bit does not change the majority.

Obstacles in Quantum Error Correction

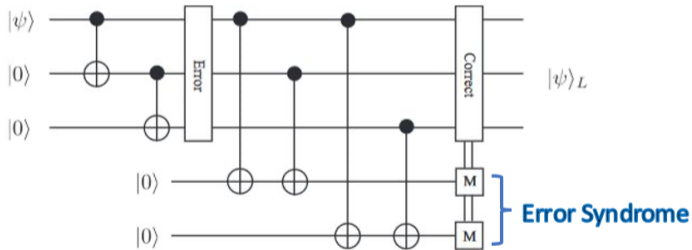
- No-cloning theorem forbids the classical repetition strategy.
- Measuring qubits to identify errors would collapse superpositions.
- Need to correct bit flip and phase errors.
- Need to handle continuous rotations, decohering maps, etc.
- Need to correct errors on multiple qubits.

¹Daniel Gottesman: Quantum Error Correction and Fault Tolerance (Part 1) - CSSQI 2012.

Correct a Bit Flip Error

To correct a single bit flip error, we can encode the data as:

$$0 \longrightarrow 000, 1 \longrightarrow 111$$



If there is a single bit flip error, we can correct the state by choosing the majority of the three bits.

Analysis

| State that Bob receives | Probability | Syndrome | Correction |
|---|-------------|----------|-----------------------------|
| $(\alpha 100\rangle + \beta 011\rangle) 11\rangle$ | $p(1-p)^2$ | 11 | Flip the 1st qubit |
| $(\alpha 011\rangle + \beta 100\rangle) 11\rangle$ | $p^2(1-p)$ | 11 | Flip the 2nd and 3rd qubits |

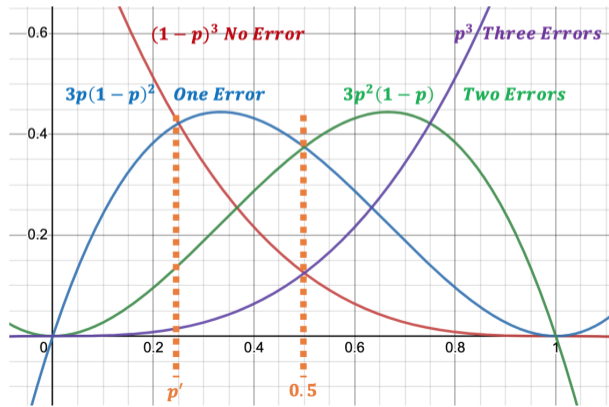
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Suppose Bob measures 11, then he must either receive

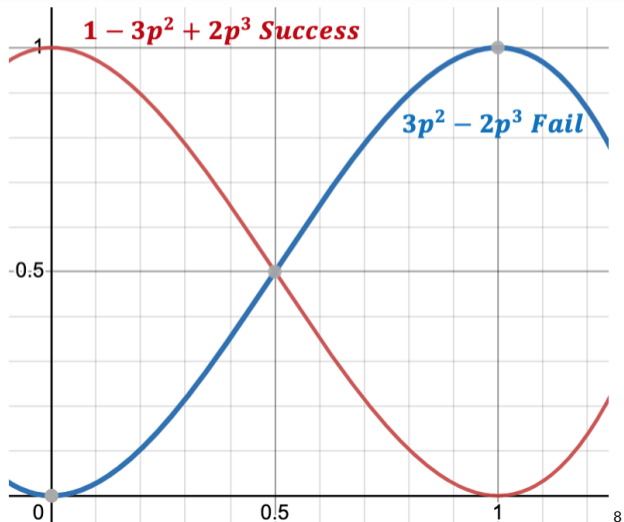
- $\alpha |100\rangle + \beta |011\rangle$ with probability $p(1-p)^2$, or
- $\alpha |011\rangle + \beta |100\rangle$ with probability $p^2(1-p)$.

When errors are rare, one error is more likely than two errors.



Repetition Code Corrects up to One Error

| Error | Probability | Success/Fail |
|-------|---------------|-------------------|
| 0 | $(1 - p)^3$ | $1 - 3p^2 + 2p^3$ |
| 1 | $3p(1 - p)^2$ | |
| 2 | $3p^2(1 - p)$ | $3p^2 - 2p^3$ |
| 3 | $(1 - p)^3$ | |



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Encoding: $\alpha |0\rangle + \beta |1\rangle \longrightarrow \alpha |000\rangle + \beta |111\rangle \neq (\alpha |0\rangle + \beta |1\rangle)^{\otimes 3}$

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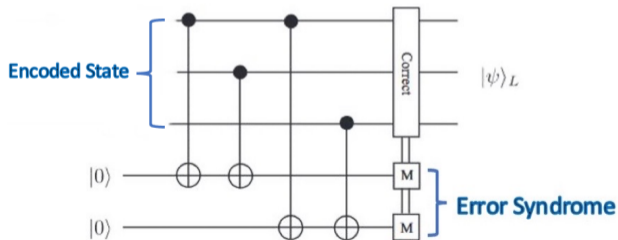
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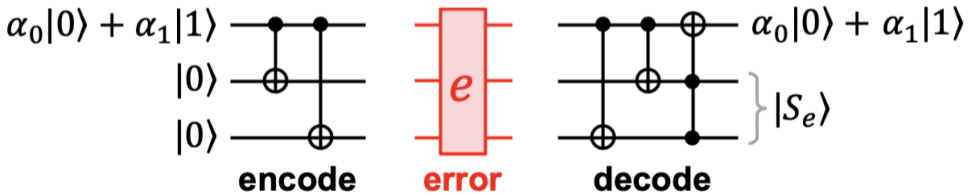
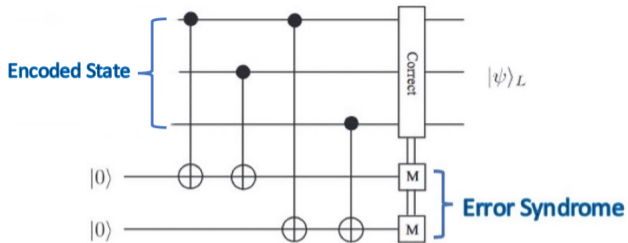
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Detect the error: Measure the error, not the data.





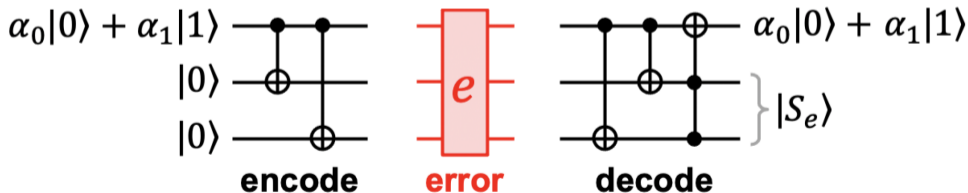
¹Cleve, R. (2021). Introduction to Quantum Information Processing. Retrieved June 6, 2023.

Correct a Phase Flip Error

Can we use the same procedure to correct a single-qubit phase flip error?

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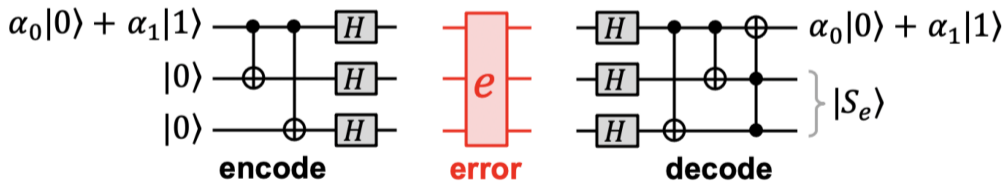
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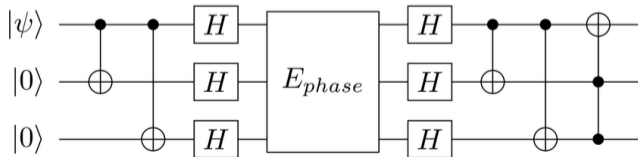
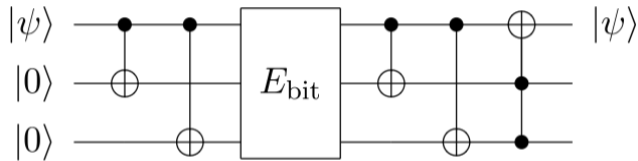
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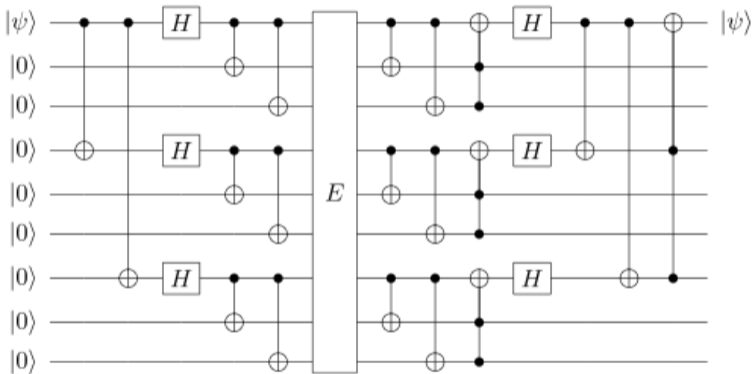


Correct a Phase Flip Error

Since $HZH = X$, we can reduce the problem of the phase flip error correction to an instance of the bit flip error correction.



Correct a Single-Qubit Pauli Error



Shor's Nine-Qubit Code



Stabilizer Formalism

Gottesman, D. (1997). Stabilizer codes and quantum error correction. California Institute of Technology.

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$$|\bar{\psi}\rangle = \alpha |\bar{0}\rangle + \beta |\bar{1}\rangle = \alpha |000\rangle + \beta |111\rangle, \quad \alpha, \beta \in \mathbb{C}, \quad |\alpha|^2 + |\beta|^2 = 1.$$

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$$\bar{X}_i \bar{Z}_i = -\bar{Z}_i \bar{X}_i, \quad 1 \leq i \leq k$$

$$\bar{X}_i \bar{Z}_j = \bar{Z}_j \bar{X}_i, \quad 1 \leq i, j \leq k, \quad i \neq j$$

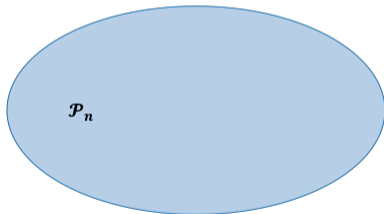
$$\bar{Z} |\bar{0}\rangle = |\bar{0}\rangle, \quad \bar{Z} |\bar{1}\rangle = -|\bar{1}\rangle$$

$$\bar{X} |\bar{+}\rangle = |\bar{+}\rangle, \quad \bar{X} |\bar{-}\rangle = -|\bar{-}\rangle$$

Stabilizer Code

Consider three groups of Pauli operators.

1. Pauli group on n qubits: $\mathcal{P}_n = \{i^c (\bigotimes_{i=1}^n P_i); P_i \in \{X, Y, Z, I\}, 0 \leq c \leq 3\}$.

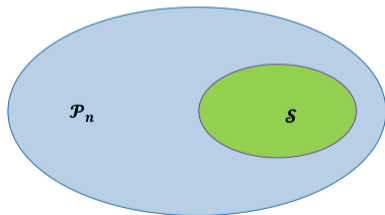


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2. Stabilizer group: $\mathcal{S} = \langle M_1, M_2, \dots, M_{n-k} \rangle$, $-I \notin \mathcal{S}$. $\mathcal{S} \subset \mathcal{P}_n$. \mathcal{S} Abelian.

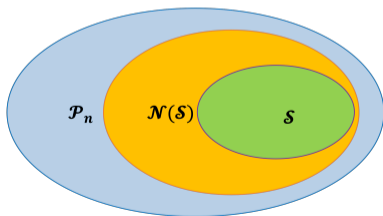


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3. Centralizer of \mathcal{S} : $\mathcal{N}(\mathcal{S}) = \{U \in \mathcal{P}_n; [U, M] = 0, \forall M \in \mathcal{S}\}$.



Definition

Stabilizer codes are a class of quantum error-correcting codes. Its code space \mathcal{C} is the joint $+1$ eigenspace of \mathcal{S} .

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Code Space

$|\bar{\psi}\rangle$ is called a *codeword* in \mathcal{C} , where

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Example

Consider $\mathcal{S} = \langle XX, ZZ \rangle$ on two qubits. Then $\mathcal{C} = \left\{ \frac{1}{\sqrt{2}} (|00\rangle + |11\rangle) \right\}$.

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They are anticommuting Pauli pairs acting non-trivially on $|\bar{\psi}\rangle$.
- All other operators in \mathcal{P}_n anti-commute with at least one element in \mathcal{S} and map a codeword $|\bar{\psi}\rangle$ onto a state **outside** the code space \mathcal{C} .

Fundamental Theorem of Stabilizer Theory

Theorem

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Example: Four-qubit code $[[4, 2, 2]]$

$$\mathcal{S} = \langle XXXX, ZZZZ \rangle$$

- What is the dimension of the code space?

Code Distance

Definition

Let d be the distance of a stabilizer code $\mathcal{C}(S)$, $|P|$ denotes the weight of $P \in \mathcal{P}_n$, the number of physical qubits on which P acts nontrivially. Then

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$$d := \min_{P \in \mathcal{N}(\mathcal{S})/\mathcal{S}} |P|.$$

The code distance is the **minimum weight** of any logical operator.

Example: Four-qubit code $[[4, 2, 2]]$

$$\mathcal{S} = \langle XXXX, ZZZZ \rangle$$

- Find pairs of mutually anti-commuting Paulis which commute with $XXXX$, $ZZZZ$.
- What is the code distance?

Detectable Errors

Definition

$\forall A, B \in \mathcal{P}_n,$

$$[A, B] = AB - BA \quad \{A, B\} = AB + BA.$$

$[A, B] = 0, \{A, B\} = 0$ denote when A and B commute, anticommute respectively.

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E is an undetectable error: When $E \in \mathcal{N}(\mathcal{S}), [E, M] = 0, \forall M \in \mathcal{S}$.

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- $E \in \mathcal{N}(\mathcal{S}) \setminus \mathcal{S}, E$ is a logical operator. **BAD!**
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E is an detectable error: When $E \notin \mathcal{N}(\mathcal{S}), \exists M \in \mathcal{S}$ s.t. $\{E, M\} = 0$.

Detectable Errors Cont.

Lemma

A stabilizer code of distance d can detect all Pauli errors of weight less than d (as long as they are not elements in S).

When a Pauli error has weight greater than or equal to d , it may or may not be detected.

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Detectable Errors Cont.

Lemma

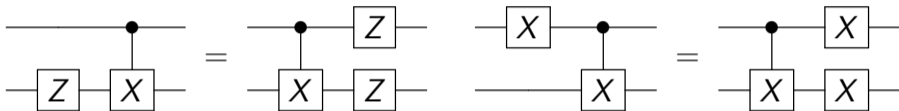
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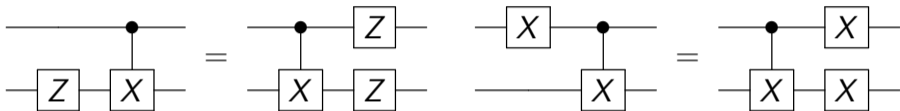
- E and F have the same error syndrome iff $E^\dagger F \in \mathcal{N}(S)$.
- A code of distance $d = 2t + 1$ can correct any error of weight t .

Fault-tolerant Technique: Transversality



³Gottesman, D. (2000). Fault-tolerant quantum computation with local gates. *Journal of Modern Optics*, 47(2-3), 333-345.

Fault-tolerant Technique: Transversality

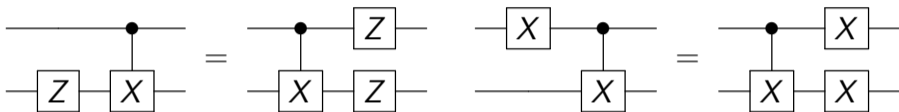


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A transversal logical operator is **NOT** implemented by any multi-qubit physical operation acting on the same code block.

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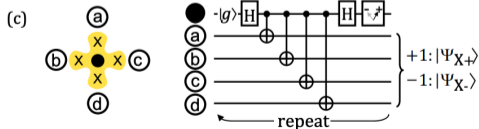
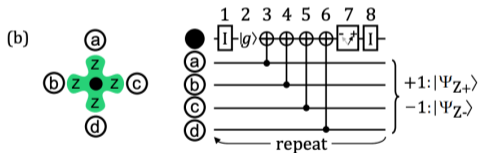
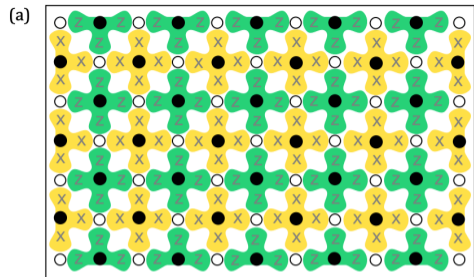
Definition

A transversal logical operator is **NOT** implemented by any multi-qubit physical operation acting on the same code block.

- Transversality prevents any errors from spreading within a block, so a single physical error cannot cause a whole block of codes to go bad.

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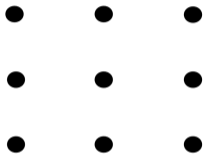
2D Surface Code



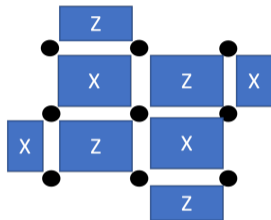
- A family of stabilizer codes defined on a 2D lattice of qubits.
- Pros: high error threshold and the planar layout of physical qubits. Each physical qubit only interacts with its nearest neighbours.
- Cons: the available transversal logical gates are limited.

^dBravyi, S. B. & Kitaev, A. Y. (1998). Quantum codes on a lattice with boundary. arXiv preprint quant-ph/9811052

A square array of qubits

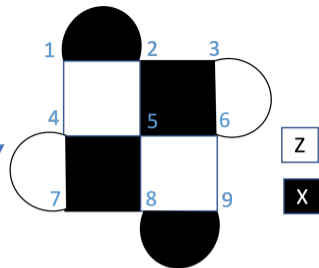


Define
Stabilizers



Local stabilizers are defined all over the lattice.

Interpretation 1



Interpretation 2

- Independent stabilizers: $m = 8$

$$\begin{array}{ll} Z_3Z_6, & Z_1Z_2Z_4Z_5 \\ Z_8Z_9, & Z_5Z_6Z_8Z_9 \\ X_1X_2, & X_2X_3X_5X_6 \\ X_8X_9, & X_4X_5X_7X_8 \end{array}$$

- Logical operators: $k = 1$

$$\bar{X} = X_1X_2X_3, \quad \bar{Z} = Z_1Z_2Z_3$$

- Code distance: $d = 1$

The Smallest Interesting Surface Code.

Jump to ▶ [Linear binary](#), [Additive \$q\$ -ary](#), [RS](#), [RM](#), [LDPC](#), [Polar](#), [Rank-metric](#), [STC](#), [Stabilizer](#), [CSS](#), [Good QLDPC](#), [Kitaev surface](#), [Color](#), [Topological](#), [Holographic](#), [EAQECC](#), [GKP](#), [Cat](#)

Classical Domain ▶ [Binary Kingdom](#), [Galois-field Kingdom](#), [Matrix Kingdom](#), [Lattice Kingdom](#), [Spherical Kingdom](#), [Ring Kingdom](#), [Group Kingdom](#)

Quantum Domain ▶ [Qubit Kingdom](#), [Modular-qudit Kingdom](#), [Galois-qudit Kingdom](#), [Bosonic Kingdom](#), [Fermionic Kingdom](#), [Spin Kingdom](#), [Group Kingdom](#), [Category Kingdom](#)

Code lists ▶ [Approximate quantum codes](#), [Binary linear codes](#), [Quantum CSS codes](#), [Codes with notable decoders](#), [Dynamically generated quantum codes](#), [Asymptotically good QLDPC codes](#), [Hamiltonian-based codes](#), [Holographic codes](#), [Quantum codes based on homological products](#), [LDPC codes](#), [MDS codes](#), [Perfect codes](#), [\$q\$ -ary linear codes](#), [Quantum LDPC codes](#), [Quantum codes with code capacity thresholds](#), [Quantum codes with fault-tolerant gadgets ...](#) ([see all](#))

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[Code graph](#)

[Code lists](#)

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[Glossary of concepts](#)

More

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Binary linear code defined on edges on a regular graph G such that each subsequence of bits corresponding to edges in the neighborhood any vertex belong to some \textit{short} binary linear code C_0 . Expansion properties of the underlying graph can yield efficient decoding algorithms. [More ...](#)

Stats at a glance: **275** code entries, **15** kingdoms, **2** domains, **72** classical codes, **124** quantum codes, **79** abstract property codes, **27** topological codes, **33** CSS codes, **44** quantum LDPC codes, and **26** bosonic codes.

Fault-tolerant Protocols for Surface Codes

Measurement-based schemes for performing logical operations in surface code.

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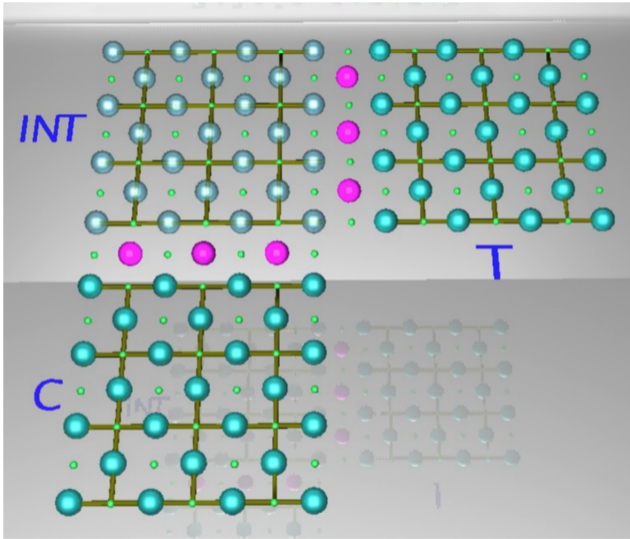
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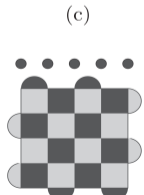
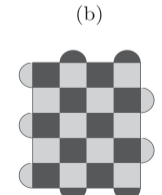
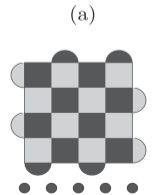
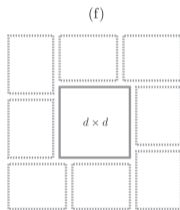
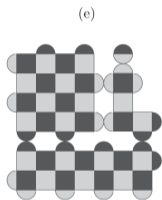
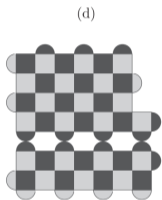
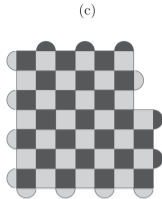
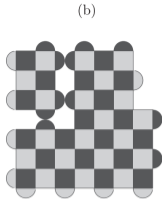
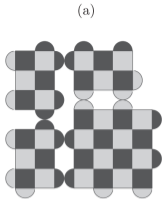
Magic state distillation: implement the non-Clifford T gate.

Lattice Surgery

- Three surface code patches to perform a lattice surgery for a fault-tolerant implementation of the logical CNOT gate.
- Control (C) and target (T) surfaces interact by merging and splitting with the intermediate surface (INT).

^eHorsman, C., Fowler, A. G., Devitt, S. & Van Meter, R. (2012). Surface code quantum computing by lattice surgery. *New Journal of Physics*, 14(12), 123011.



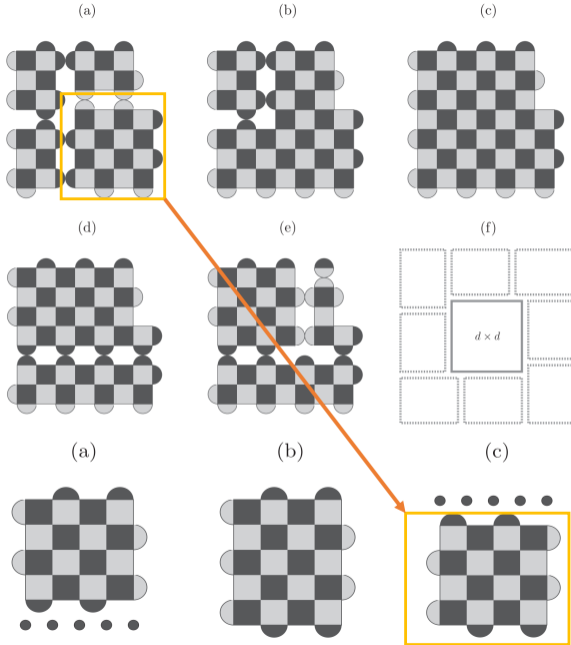


Code Deformation

- Fault-tolerant procedure for rotating a surface code by 90 degrees and reflecting it about the x axis.
- Realizing a logical H gate.

^e Bombín, H. & Martin-Delgado, M. A. (2009). Quantum measurements and gates by code deformation. *Journal of Physics A: Mathematical and Theoretical*, 42(9), 095302.

^f Vuillot, C., Lao, L., Criger, B., Almudéver, C. G., Bertels, K. & Terhal, B. M. (2019). Code deformation and lattice surgery are gauge fixing. *New Journal of Physics*, 21(3), 033028.



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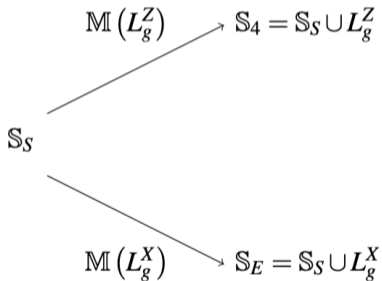
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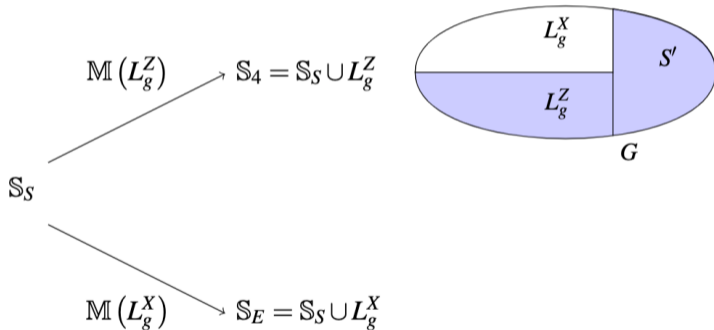
Gauge Fixing

- Different gauge fixing operations result in different stabilizer groups.
- This method is used to switch between the Steane code and the quantum Reed-Muller code.



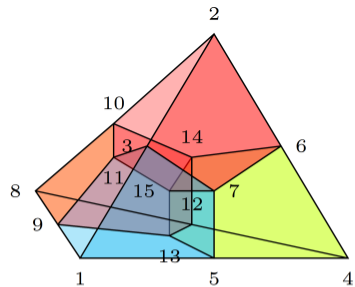
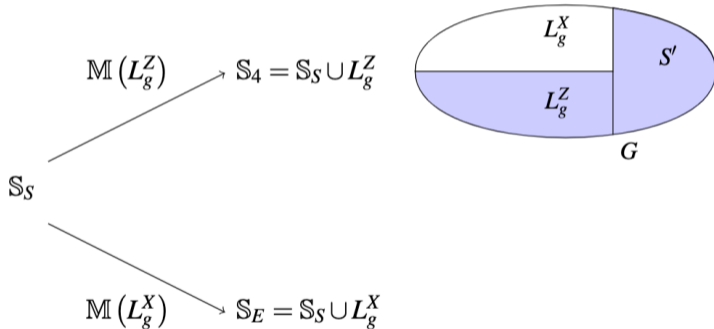
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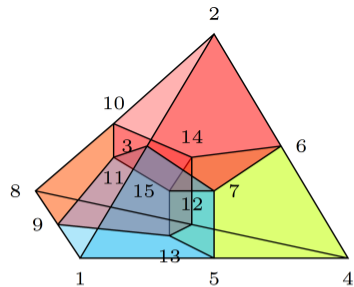
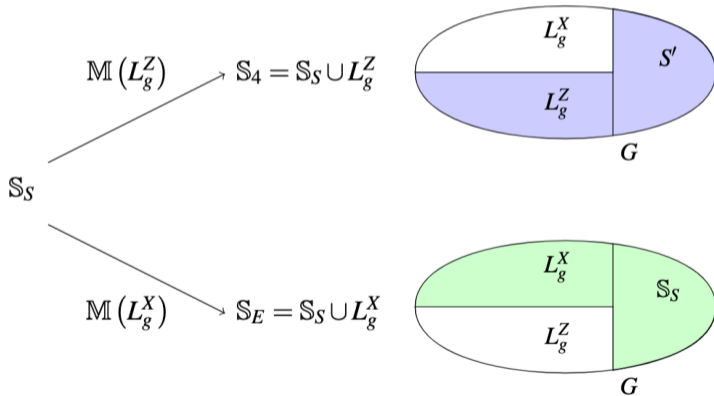
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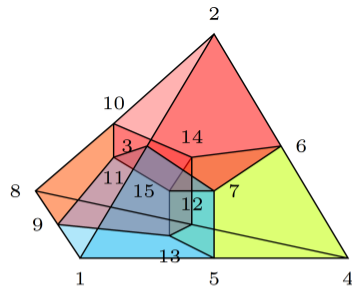
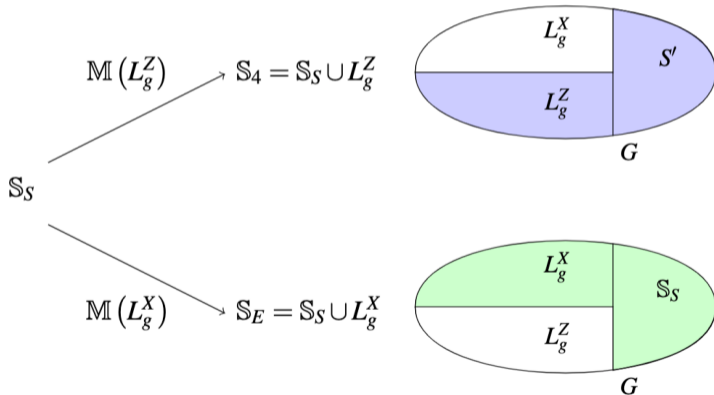
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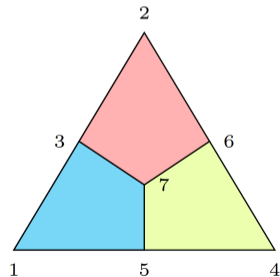
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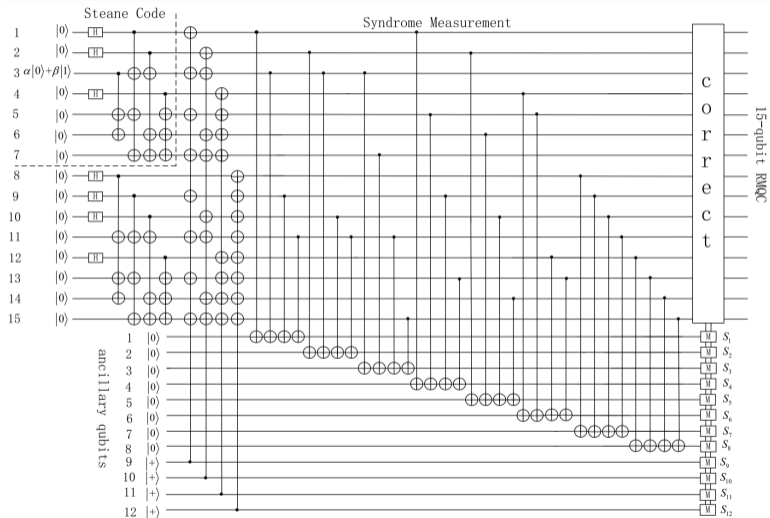


7-qubit Steane Code

Switch between Steane Code and Quantum Reed-Muller Codes

[4] Anderson, J. T., Duclos-Cianci, G., & Poulin, D. (2014). Fault-tolerant conversion between the steane and reed-muller quantum codes. *Physical review letters*, 113(8), 080501.

[5] Quan, D. X., Zhu, L. L., Pei, C. X., & Sanders, B. C. (2018). Fault-tolerant conversion between adjacent Reed-Muller quantum codes based on gauge fixing. *Journal of Physics A: Mathematical and Theoretical*, 51(11), 115305.



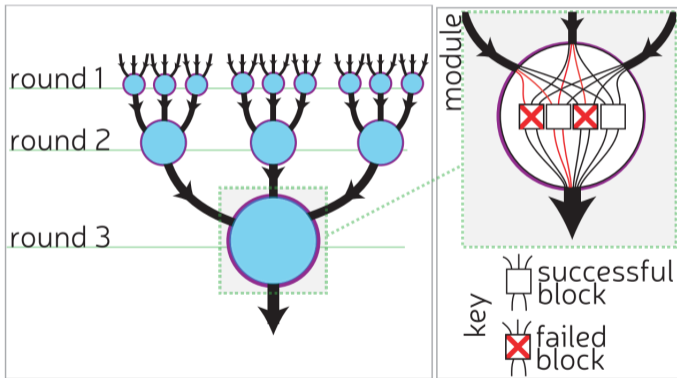
Subsystem Code Gauge Fixing

[6] Paetznick, A., & Reichardt, B. W. (2013). Universal fault-tolerant quantum computation with only transversal gates and error correction. *Physical review letters*, 111(9), 090505.

[7] Vuillot, C., Lao, L., Criger, B., Almud'ever, C. G., Bertels, K., & Terhal, B. M. (2019). Code deformation and lattice surgery are gauge fixing. *New Journal of Physics*, 21(3), 033028.

Magic State Distillation

- Magic state distillation implements a non-Clifford logical T gate.
- It is estimated to have a large resource overhead.



⁸O’Gorman, J., & Campbell, E. T. (2017). Quantum computation with realistic magic-state factories. *Physical Review A*, 95(3), 032338.

⁹Bombín, H. (2015). Gauge color codes: optimal transversal gates and gauge fixing in topological stabilizer codes. *Nuclear Physics B*, 292(3), 002000.

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2. Stabilizer theory is a mathematical framework for studying and designing quantum error-correcting codes.
3. Fault tolerance can be achieved by using transversal gates.
4. Surface codes are a family of topological stabilizer codes. Measurement-based protocols are used to realize different logical operations.

Thanks!